## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burde	en
hours per response:	0.5

1. Name and Address of Reporting Person <sup>*</sup> <u>Davis Robert E</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol Intra-Cellular Therapies, Inc. [ ITCI ]		tionship of Reporting Pe all applicable) Director	erson(s) to Issuer 10% Owner
			J. Date of Earliest Transaction (Month/Dav/Year)	X	Officer (give title below)	Other (specify below)
(Last)	(First)	(Middle)			SVP, Chief Scien	tific Officer
C/O INTRA-CELLULAR THERAPIES, INC.			01/04/2019		SVP, Chief Scien	une onicer
430 EAST 29T	H STREET					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	vidual or Joint/Group Filir	ng (Check Applicable
(Street)				Line)		
(Street) NEW YORK	NY	10016		X	Form filed by One Re	porting Person
	111	10010	_		Form filed by More the Person	an One Reporting
(City)	(State)	(Zip)				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V Am		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	01/04/2019		<b>S</b> <sup>(1)</sup>		6,862	D	\$11.33 <sup>(2)</sup>	46,691	D	
Common Stock	01/04/2019		<b>S</b> <sup>(1)</sup>		2,702	D	\$11.8 <sup>(3)</sup>	43,989	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$12.73	01/08/2019		A		75,554		(4)	01/07/2029	Common Stock	75,554	\$0.00	75,554	D	
Restricted Stock Units	(5)	01/08/2019		Α		54,988		(6)	(6)	Common Stock	54,988	\$0.00	54,988	D	

#### Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 27, 2018. The proceeds from these sales will be used primarily to cover the reporting person's tax liability arising from the vesting of restricted stock units.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.77 to \$11.77, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, on request, full information regarding the number of shares sold at each separate price within the range set forth above.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$11.78 to \$11.86, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, on request, full information regarding the number of shares sold at each separate price within the range set forth above.

4. On January 8, 2019, the reporting person was granted options to purchase 75,554 shares of common stock, vesting in three equal annual installments beginning on the first anniversary of the grant date.

5. Each restricted stock unit represents a contingent right to receive one share of common stock.

6. On January 8, 2019, the reporting person was granted 54,988 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

#### **Remarks:**

#### <u>/s/ Lawrence J. Hineline,</u> <u>Attorney-in-fact</u>

01/08/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.