| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

|  | Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

| 1. Name and Addre<br>Neumann M          |                                    | rson*                    | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Intra-Cellular Therapies, Inc. [ ITCI ] |                        | ationship of Reporting Per<br>( all applicable)<br>Director                               | rson(s) to Issuer                          |
|---|------------------------------------|--------------------------|---|------------------------|---|--|
| (Last)<br>C/O INTRA-CH<br>430 EAST 29T1 | (First)<br>ELLULAR THE<br>H STREET | (Middle)<br>RAPIES, INC. | - 3. Date of Earliest Transaction (Month/Day/Year) 10/15/2018                                 | - x                    | Officer (give title<br>below)<br>EVP, Chief Comme   | Other (specify<br>below)<br>ercial Officer |
| (Street)<br>NEW YORK<br>(City)          | NY<br>(State)                      | 10016<br>(Zip)           | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                      | 6. Indiv<br>Line)<br>X | vidual or Joint/Group Filin<br>Form filed by One Rep<br>Form filed by More that<br>Person | porting Person                             |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | <br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |                    |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|------------------|---|---|--------------------|--|---|---|---|
|                                 |  | Code             | v | Amount  | mount (A) or Price |  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of     |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|---|---|--|---|------------------------------|---|--------|-----|--|--------------------|---|--|---|--|--|--|--|--|
|   |   |  |   | Code                         | v | (A)    | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$18.59   | 10/15/2018                                 |   | A                            |   | 55,300 |     | (1)  | 10/14/2028         | Common<br>Stock   | 55,300                                 | \$0.00  | 55,300   | D  |  |  |  |
| Restricted<br>Stock<br>Units                        | (2)   | 10/15/2018                                 |   | A                            |   | 40,344 |     | (3)  | (3)                | Common<br>Stock   | 40,344                                 | \$0.00  | 40,344   | D  |  |  |  |

## Explanation of Responses:

1. The option vests as to one third of the shares on October 15, 2019, one third of the shares on October 15, 2020 and one third of the shares on October 15, 2021.

2. Each restricted stock unit represents a contingent right to receive one share of common stock.

3. The restricted stock units vest as to one third of the shares on October 15, 2019, one third of the shares on October 15, 2020 and one third of the shares on October 15, 2021.

**Remarks:** 

<u>/s/ Lawrence J. Hineline,</u> <u>Attorney-in-fact</u>

10/17/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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