| SEC Form 4 |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response       | . 0.5     |  |  |  |  |  |  |  |

| Check this box if no longer subject<br>to Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). | Filed pursuant to Section 16(a) of the Securities E<br>or Section 30(h) of the Investment Compa |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br>Mates Sharon   |   | 2. Issuer Name <b>and</b> Ticker or Trading Sym<br><u>Intra-Cellular Therapies, Inc.</u> |  |  |  |  |  |

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Exchange Act of 1934 Iny Act of 1940

| 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Intra-Cellular Therapies, Inc. [ITCI] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)                              |  |  |  |  |  |
|---|---|--|--|--|--|--|
|   | X Director 10% Owner  |  |  |  |  |  |
| 3. Date of Earliest Transaction (Month/Day/Year)<br>11/10/2020                              | X Officer (give title Other (specify below) below)<br>Chairman, President & CEO                         |  |  |  |  |  |
| 4. If Amendment, Date of Original Filed (Month/Day/Year)                                    | 6. Individual or Joint/Group Filing (Check Applicable   |  |  |  |  |  |
|   | Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting                  |  |  |  |  |  |
|   | Person  |  |  |  |  |  |
|   | Intra-Cellular Therapies, Inc. [ ITCI ]   3. Date of Earliest Transaction (Month/Day/Year)   11/10/2020 |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities<br>Disposed Of |               |                        | Beneficially                       | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|------------------------------|---------------|------------------------|------------------------------------|---|---|
|                                 |  |   | Code                         | v | Amount                       | (A) or<br>(D) | Price                  | Transaction(s)<br>(Instr. 3 and 4) |   |   |
| Common Stock                    | 11/10/2020                                 |   | S <sup>(1)</sup>             |   | 30,869                       | D             | \$25.99 <sup>(2)</sup> | 1,132,523                          | D   |   |
| Common Stock                    | 11/10/2020                                 |   | S <sup>(1)</sup>             |   | 19,131                       | D             | \$26.91 <sup>(3)</sup> | 1,113,392                          | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo<br>of (D)<br>(Instr<br>and 5 | vative<br>rities<br>lired<br>r<br>osed<br>)<br>r. 3, 4 | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|--|--|---|-------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

### Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 19, 2020.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25.59 to \$26.59, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, on request, full information regarding the number of shares sold at each separate price within the range set forth above.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$26.60 to \$27.48, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, on request, full information regarding the number of shares sold at each separate price within the range set forth above.

#### Remarks:

/s/ Lawrence J. Hineline, Attorney-in-fact

11/12/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.