SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Vanover Kimberly E.			2. Date of Even Requiring State (Month/Day/Yea	ment	3. Issuer Name and Ticker or Trading Symbol Intra-Cellular Therapies, Inc. [NONE]				
(Last) C/O INTRA-C INC. 3960 BROAD (Street) NEW YORK (City)	OWAY	(Middle) THERAPIES, 10032 (Zip)	— 08/29/2013 — -		4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below) VP, Clinical Deve	10% Own Other (spe below)	er 6. In ecify App	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
			Table I - Noi	n-Derivati	ve Securities Beneficial	ly Owned			
1. Title of Security (Instr. 4)					Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direct or Indirect (Instr. 5)	t (D) (Instr.		t Beneficial Ownership
		,			Securities Beneficially				
		(6	e.g., puts, cal	ls, warraı	nts, options, convertible	esecuritie	s)		
1. Title of Deriva	ative Security		2. Date Exerce Expiration Da (Month/Day/Y	isable and	nts, options, convertible 3. Title and Amount of Secur Underlying Derivative Secur	ities	4. Conversion or	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
1. Title of Deriva	ative Security		2. Date Exerc Expiration Da	isable and	3. Title and Amount of Secur Underlying Derivative Secur	ities	4. Conversion	Ownership	Beneficial Ownership
1. Title of Deriva		(instr. 4)	2. Date Exerc Expiration Da (Month/Day/Y	Expiration	3. Title and Amount of Secur Underlying Derivative Secur	ities ity (Instr. 4) Amount or Number of	4. Conversion or Exercise Price of Derivative	Ownership Form: Direct (D) or Indirect	Beneficial Ownership
	(right to buy	(Instr. 4)	2. Date Exerc Expiration Da (Month/Day/Y Date Exercisable	Expiration	3. Title and Amount of Secur Underlying Derivative Secur Title	ities ity (Instr. 4) Amount or Number of Shares	4. Conversion or Exercise Price of Derivative Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership
Stock Option ((right to buy	(Instr. 4)	2. Date Exercised (Month/Day/Y	Expiration Date	3. Title and Amount of Secur Underlying Derivative Secur Title Common Stock	ities ity (Instr. 4) Amount or Number of Shares 5,000	4. Conversion or Exercise Price of Derivative Security 1.36	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership
Stock Option (Stock Option ((right to buy (right to buy (right to buy	(Instr. 4)	2. Date Exerc Expiration Da (Month/Day/Y Date Exercisable (1) (1)	Expiration Date 04/11/2017	3. Title and Amount of Secur Underlying Derivative Secur Title Common Stock Common Stock	ities ity (Instr. 4) Amount or Number of Shares 5,000 10,000	4. Conversion or Exercise Price of Derivative Security 1.36 1.5	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership
Stock Option (Stock Option (Stock Option ((right to buy (right to buy (right to buy (right to buy	(Instr. 4)	2. Date Exercisable (Month/Day/Y Date Exercisable (1) (1) (1) (1)	Expiration Date 04/11/2017 12/12/2017	3. Title and Amount of Secur Underlying Derivative Secur Title Common Stock Common Stock	ities iity (Instr. 4) Amount or Number of Shares 5,000 10,000 3,750	4. Conversion or Exercise Price of Derivative Security 1.36 1.5 1.5	Ownership Form: Direct (D) or Indirect (I) (Instr. 5) D D D	Beneficial Ownership
Stock Option (Stock Option (Stock Option (Stock Option ((right to buy (right to buy (right to buy (right to buy (right to buy	(Instr. 4) () () () () () () () () () () () () ()	2. Date Exerc Expiration Da (Month/Day/Y) Date Exercisable (1) (1) (1) (1)	Expiration Date 04/11/2017 12/12/2017 12/18/2018 06/10/2020	3. Title and Amount of Secur Underlying Derivative Secur Title Common Stock Common Stock Common Stock Common Stock	itties iity (Instr. 4) Amount or Number of Shares 5,000 10,000 3,750 3,500	4. Conversion or Exercise Price of Derivative Security 1.36 1.5 1.5 2.74	Ownership Form: Direct (D) or Indirect (I) (Instr. 5) D D D D	Beneficial Ownership

Explanation of Responses:

1. All shares underlying this option have vested.

2. The option vests as to one third of the shares on December 22, 2011, one third of the shares on December 22, 2012 and one third of the shares on December 22, 2013.

3. The option vests as to one third of the shares on December 20, 2012, one third of the shares on December 20, 2013 and one third of the shares on December 20, 2014.

4. The option vests as to one third of the shares on December 20, 2013, one third of the shares on December 20, 2014 and one third of the shares on December 20, 2015.

<u>/s</u>	/ Lawrence J. Hineline,
A	ttorney-in-fact
**	Signature of Reporting Person

09/09/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints Sharon Mates, Ph.D., Chairman, President and Chief Executive Officer of Intra-Cellular Therapies, Inc. (the "Company"), Lawrence J. Hineline, Vice President of Finance and Chief Financial Officer of the Company, and Allen A. Fienberg, Ph.D., Vice President of Business Development of the Company, signing singly, with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, forms and authentication documents for EDGAR Filing Access;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such forms and authentication documents;

(3) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer, director and/or 10% shareholder of the Company, Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

(4) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(5) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact, on behalf of the undersigned pursuant to this Power of Attorney, shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed this 8th day of August, 2013.

/s/ Kimberly E. Vanover, Ph.D. Signature Kimberly E. Vanover, Ph.D. Print Name